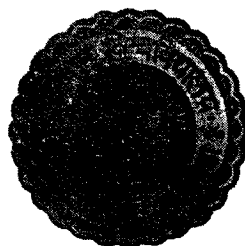


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**UNIVERSITY OF RAJASTHAN**

**JAIPUR**


**SYLLABUS**

**FACULTY OF COMMERCE**


**POST-GRADUATE DIPLOMA IN DEVELOPMENT  
AND INVESTMENT BANKING**

**(ANNUAL SCHEME)**

**EXAMINATION 2016**

Prepared by - 

checked by - 

  
Asstt. Registrar (Acad-I)  
University of Rajasthan  
JAIPUR 1

**POST-GRADUATE DIPLOMA IN DEVELOPMENT AND INVESTMENT  
BANKING**

**EXAM 2016**

**Scheme of Examination**

1. A Candidate must obtain for a pass atleast 25% marks in each individual paper and the monograph and 36% marks in the aggregate. The classification of successful candidates will be as follows:


First Division 60% of the aggregate marks

Second Division 48%

All the rest will be declared to have passed the examination. Every candidate shall be required to offer the following six papers and each paper of three hours duration carrying 100 marks.

2. There will be five questions in all. The candidates are required to attempt all the question. There will be one question from each unit with an internal choice (either/or).
3. Every candidate for the above diploma Examination shall be required to offer the following papers. Each theory paper shall be of 3 hours duration and carry 100 marks.

<b>Paper – I</b>	<b>:</b>	<b>Theory and Practice of Banking</b>
<b>Paper – II</b>	<b>:</b>	<b>Development Banking</b>
<b>Paper – III</b>	<b>:</b>	<b>Investment Banking &amp; Accounts</b>
<b>Paper – IV</b>	<b>:</b>	<b>Marketing of Securities</b>
<b>Paper – V</b>	<b>:</b>	<b>Project Work and Viva-Voce</b>

  
Asstt. Registrar  
University of Rajasthan  
JAIPUR

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## PAPER (I) THEORY AND PRACTICE OF BANKING

Time : 3 hours

Max. Marks : 100

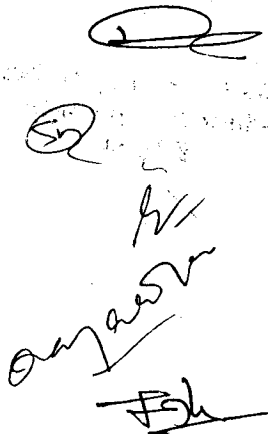
- Unit I Commercial Banks-Functions, Kinds, Assets and Liabilities. Credit creation.  
Central Banking-nature and Functions with special reference to credit control.
- Unit II Banking Legislation in India-Banking Regulation Act, 1949, Main provisions. Its subsequent amendments. Application to Co-operative Banks, Social control over Banks. The Banking Commission (1972) Summary of the report and main recommendations.
- Unit III Indian Banking System-Commercial Banks. Co-operative Banks regional Rural Banks, RBI, SBI and its subsidiaries, Nationalised Banks, lead Bank Scheme.
- Unit IV Relationship between Banker and Customer-Accounts of customers with the Banker, Loans and Advances, Types of securities , cheques, The paying Baker, The collecting Banker, Letter of Credit, Discounting of Bills, Documentation.
- Unit V Universal banking, E-banking, mobile banking and innovations in banking sector.

### Books Recommended:

1. Sheckhar, K.C: Banking Theory and practice.
2. Dabar, S.R: Law and Practice of Banking.
3. Sudharam, K.P.M.: Banking theory: Law and Practice.
4. Tannan: Law and Practice of Banking.
5. Kent R.P: Money and Banking.
6. Decock : H.M : Central Banking.
7. Panandikar : Banking in India.
8. Banking Commission Report (1972) and the Technical Studies prepared by Study Groups.

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## PAPER II: DEVELOPMENT BANKING

Time : 3 hours

Max. Marks : 100

- Unit I The concept of a Development Bank. Its Evolution, Objectives, Organisation and Management of a Development Bank.  
Functions of Development Banks-Scope and coverage, Schemes of Assistance-Direct Assistance. Defiance Bills. rediscount Assistance-Direct Assistance, Assistance to Joint and Public sector, Encouragement to new Entrepreneurs and technocrats, Export Finance, Subscription to shares and Bond to Financial Institutions.
- Unit II Sources of Funds-Share capital, borrowing from Governments Central Banks and from the market by way of Bond issue. Repayment by borrowers. Retained earnings Foreign borrowings
- Unit III Promotional Activities of Development Banks-Sanctions and Disbursement Policies, procedures and Techniques for Financial Assistance Followed by Development Banks.
- Unit IV A Detailed study of IFCI, IDBI, ICICI SFCs. SIDCs. Assistance to Small Scale Industries.
- Unit V Problems of terms. Lending in India. Need for simplification of lending procedures, End use supervision and follow upon action by term lending Instructions.

### Books Recommended:

1. Basu, S.K.: Theory and Practice of Development Banking in India.
2. William Diamond: Development Banks.
3. Shirely Boskey: Problems and Practice of Development Banks.
4. Dagli, V.L: Financial Institutions of India (Commerce) Annual Number, 1974.
5. Das, N.: Banking and Industrial Finance in India.
6. Gupta. I.c.: Changing Structure of Industrial Finance in India.
7. Annual Report of IFCI, IDBI, ICICI, SFCs, SIDCs ETC.

## PAPER III: INVESTMENT BANKING AND ACCOUNTS

Time : 3 hours

Max. Marks : 100

### Investment Banking:

- Unit I Concept of Investment Banking, History of Investment Banking. Types of Investment Banks. Function of Investment Banks. Banking In-situations securities.
- Unit II Analysis, underwriting, Distribution and Miscellaneous services, sources of Funds, Techniques of Investment, Banking Organisation and Management of Investment Banking Institutions, Evolution and Development of Investment Banking in India, Unit trust of India.

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- Unit III Investment Management: The objectives of Investment Management the Investment Environment-Investment. Specification. the Investment Process, Investment Alternatives, Investment risk (Purchasing power risk, Market risk, Business risk, Financial Risk), Attitude of Individual Investor, types of Investment and Investment Planning
- Unit IV Portfolio management: needs, Objectives and Policies of portfolio management. Theory of portfolio management. The Management problem I portfolio management, Portfolio management and timing. Non-portfolio management, Portfolio management and Timing. Non-Portfolio management-The Income Portfolio, The Growth Portfolio, Portfolio Evaluation.
- Unit V Measuring Investment Performance-Port Performance and fund Performance.
- A Brief study of Accounting Techniques of Investment Banking Institutions.
- Investment Accounts.
- Analysis of Published Accounts and Valuation of Shares.

#### Books Recommended:

1. Willium Homer Spencer: Financial Organisation and the Economics Systems.
2. Timeout E.Johnson: Investment Principles.
3. Edward E.Willium, M. Chapman Findly III : Investment Analysis.
4. Fedrics Amling : Investment -An Introduction, Analysis and Management .
5. Donald E. Vaughn: Survey of Investments.
6. Charls P. Bones, Donald L. Tutle, P. Heaton: Essentials of Modern Investments.
7. James T.S. Porterfield: Investment Decisions and Capital Costs.
8. Graham & Dodd: Security Analysis.
9. R.R. Gupta: Published Accounts.

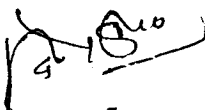
#### PAPER IV : MARKETING SECURITIES

**Time : 3 hours**

**Max. Marks : 100**

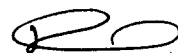
- Unit I Securities-Meaning. Types of Securities. Investment in Securities Analysis of Securities for Investment Purpose. Cost of Investment in Securities. Cost of Marketing. Saving for Investment in Securities.
- Economic Forecasting and the Stock Investment Decisions. Forecasting Techniques.
- Unit II The Securities Market-Stock Exchange and its function. Organisation of Stock Exchange. Marketing of New Issues.











Capital issue Market. In India -Control of Capital Issue. Institutions of the new Capital issue Market. Method of Flotation. Different Types of Securities issued in India. Underwriting.

- Unit III Role of Stock exchange in Development with special reference to India.  
Stock Exchange in India- Genesis and Present Position. Organisation Constitution, Rules and Regulations of Stock Exchange. Listing Regulation Rules of Trading Stock Exchange Quotations.
- Unit IV Indian Stock Exchange Organised Markets for Securities, Canalization of savings.  
Indian Stock Exchanges and the Investing Community.
- Unit V Role of Commercial Banks, Life Insurance and General Insurance.  
Role of Stock Exchange and Government Securities in the Capital Market of India.

**Books Recommended:**

1. Medda. ---: Capital Market In India.
2. Robinson, R.I : Money and Capital Markets.
3. Mulkey. M.A : The new Capital Issue Market in India.
4. Mehta, R.C : Capital Market in India for Planned Growth.
5. Gupta. R.C Working of Stock Exchange in India.
6. Rik. M.S. : Stock Market Economics.
7. Edited A.K. Sur : The Stock Market Exchange.
8. Johnson, T.E. : Investment Principles.
9. Annual Report of Various Stock Exchange in India.

**PAPER-V : PROJECT WORK AND VIVA VOCE**

Project work of about 5,000 words based on six weeks practical training indicating the candidate own experience with regard to the establishment /Project approved for the purpose. by the Head of the Department . The candidates project work , along with the certificates from the supervisor/teacher and the organisation concerned will be required to the submitted within two months of the last date of the written examination.

PROJECT WORK — 50 Marks

Viva Voce — 50 Marks.

*Fsh*

*[Signature]*  
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